

**Purpose:** This checklist helps NTD Programs submitting survey proposals to ESPEN to ensure compliance with applicable WHO guidelines, to ensure proposals meet minimum requirements. The Checklist helps to ensure completeness of the proposal before submission to ESPEN for technical review. While submitting survey proposals to ESPEN is not mandatory, NTD Programs are encouraged to use this tool to ensure compliance with WHO guidelines. Further, it is mandatory to submit a survey proposal to ESPEN when technical or financial support is needed from WHO, and when ESPEN-Collect support is needed. Such survey proposals will also be submitted to WHO-AFRO ERC for WHO ethical clearance. This is a working tool for use by countries and will be available on ESPEN Portal.

## SECTION A: BASIC INFORMATION

1. Country:  
[Text field]
2. Submitting Institution:  
[Text field]
3. Contact Person (Name, Title, Email):  
[Text field]
4. Date of Submission:  
[Date field]
5. Implementation partner (if applicable)

## SECTION B: SURVEY PURPOSE AND TYPE

6. Purpose of the Survey (*drop-down*):
  - a. Baseline epidemiological survey
  - b. Sentinel site monitoring
  - c. Mid-term assessment (2 years)
  - d. Impact assessment 1 (IA1) ( $\geq 5$  years of PC)
  - e. Impact assessment 2 (IA2) (3 years after IA1)
  - f. Hotspot investigation
  - g. Post-EPHP Surveillance
  - h. Other (specify)

7. Target Disease(s) (*tick all that apply*):
- a. Schistosomiasis
  - b. Soil-transmitted helminthiasis
  - c. Both SCH and STH
  - d. Integration with other surveys -specify
8. Implementation Unit(s) concerned (*tick or list*):
- a. District / IU
  - b. Sub-district / sub-IU
  - c. National level
  - d. Other (specify)

### **SECTION C: PROGRAMMATIC CONTEXT AND PC HISTORY**

8. History survey data provided (map, table)
- Yes (describe)
  - No
  - Unknown
9. PC Distribution History Provided (5 years prior)?
- Yes (coverage by round included)
  - No (submission incomplete)
10. PC Coverage Achieved  $\geq 75\%$ ?  
(*tick for each year based on reported data*)
- Year 1:  Yes  No
  - Year 2:  Yes  No
  - Year 3:  Yes  No
  - Year 4:  Yes  No
  - Year 5:  Yes  No
11. Additional Interventions Provided (WASH, SBC)?
- Yes (describe)
  - No
  - Unknown

## **SECTION D : SURVEY DESIGN AND METHODOLOGICAL COMPLIANCE**

12. Survey Method Used (as per Table 11 of SCH–STH M&E Framework) (*drop-down*):

- Cluster survey
- Stratified sampling
- Lot Quality Assurance Sampling (LQAS)
- Practical IU level Assessment
- Precision (Sub-IU) Assessment
- Geostatistical sampling
- Integrated survey with other programmes (LF TAS, Trachoma, Nutrition etc.)
- Other methodology (specify)

13. Justification for Selected Survey Method

[Short text field – mandatory]

14. Survey area

- District
- Sub-district
- Other (specify)

15. Primary Sampling Unit (PSU) (*drop-down*):

- School
- Community
- Both
- Other (specify)

16. Primary sampling unit selection (*drop-down*):

- Random
- Purposefully
- Other (specify)

17. Sample size calculation provided?

- Yes
- No (submission will be flagged)

18. Age Group(s) targeted (*tick*):

- 5–14 years (SAC – standard requirement)
- 10-14 years (for SPPA)
- Pre-SAC (2–4 years)
- Adults (for SCH hotspot investigation)
- Other (specify)

19. Diagnostic Methods to be used (*tick all that apply*):

- Kato-Katz (specify number of slides)
- Urine filtration
- POC-CCA (specify manufacturer, batch)
- POC-CAA
- Rapid test / antigen detection
- Molecular (PCR)
- Other (specify)

20. Quality Control Plan Provided?

- Yes (QC procedures described)
- No

21. Geo-referencing of Survey Sites Included?

- Yes
- No (non-compliant with SCH–STH M&E Framework)

22. Data collection tools attached?

- Data collection forms
- Training materials/SOPs
- Tablet-based or electronic data templates
- No tools attached

## **SECTION E: ETHICAL AND OPERATIONAL COMPLIANCE**

23. Ethical Approval process mentioned ?

- Yes
- No

24. Informed Consent / Assent Plan Provided?

- Yes
- No

25. Has national ethical approval been obtained?

- Yes
- No

If not, please describe actions taken to submit survey proposal to national ERC and expected clearance date: [short descriptive text]

26. Field team structure and responsibilities included

- Yes
- No

27. Field team training plan included?

- Yes
- No

28. Laboratory Capacity Assessed and Adequate?

- Yes
- To be strengthened
- No

29. Plan for positive case treatment

- Yes
- No (non-compliant)

## **SECTION F: BUDGET AND LOGISTICS**

30. Detailed Budget Attached?

- Yes (required)
- No

31. Logistics Plan Provided (transport, sample storage, consumables)?

- Yes
- No

## **SECTION G: EXPECTED OUTPUTS AND DATA USE**

32. Plan for Data Analysis Provided?

- Yes (includes indicators by SCH/STH M&E Framework)
- No

33. Commitment to Upload Raw Data to ESPEN Portal?

- Yes
- No

34. Timeline for Survey Implementation and Reporting Attached?

- Yes
- No

**SECTION H : NEEDED SUPPORT FROM ESPEN**

35. Will the country be using ESPEN Collect ?

- Yes
- No (provide reasons)

36. Will the country be requesting financial support from WHO ?

- Yes
- No (provide source of funding)

37. Will the country be requesting diagnostic kits from WHO

- Yes
- No (provide sources of diagnostic kits)